

# **BrokerCheck Report**

# FIRST CHOICE FINANCE FX

CRD# 674671

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For more information read our investor alert on imposters.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- What is included in a BrokerCheck report?
- BrokerCheck reports for individual brokers include information such as employment history, professional
  qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
  reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
  same disclosure events mentioned above.

Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
- o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <a href="http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414">http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414</a>.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck
User Guidance

#### **GLOBAL TRADE INTEREST**

CRD# 674671

SEC# 8-2326643

#### **Main Office Location**

410 CALHOUN STREET, JOHNSTON, SC, 29832

Regulated by FINRA Chicago Office

### **Report Summary for this Firm**



This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

#### Firm Profile Disclosure Events

This firm is classified as a corporation.

This firm was formed in Illinois on 09/20/1978.

Its fiscal year ends in November.

### **Firm History**

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

#### **Firm Operations**

#### This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 52 U.S. states and territories

Is this brokerage firm currently suspended with any regulator?  $\ensuremath{\text{No}}$ 

This firm conducts 6 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm?

Yes

The following types of disclosures have been reported:

Type Count

Regulatory Event

8

This firm is classified as a corporation.

This firm was formed in Illinois on 09/20/1978.

Its fiscal year ends in November.

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#### **Firm Names and Locations**

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

#### LBMZ SECURITIES, INC.

Doing business as TRADE GLOBAL INTEREST. CRD# 674671

**SEC#** 8-2326643

#### **Main Office Location**

410 CALHOUN STREET, JOHNSTON, SC, 29832

#### Other Names of this Firm

Name	Where is it used
ZACKS TRADE	AK, AL, AR, AZ, CA, CO, CT, DC, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, PR, RI, SC, SD, TN, TX,
	111, 00, 0D, 111, 17,

This section provides information relating to all direct owners and executive officers of the brokerage firm.



#### **Direct Owners and Executive Officers**

Legal Name & CRD# (if any): **ROBIN ANDREWS** 

Is this a domestic or foreign entity or an individual?

**Domestic Entity** 

**Position OWNER** 

**Position Start Date** 12/2012

**Percentage of Ownership** 75% or more

Does this owner direct the management or policies of No

the firm?

Is this a public reporting company?

No

Legal Name & CRD# (if any):

CALDER, JUDITH ANN

38260

Is this a domestic or foreign entity or an individual?

Start

Date

Individual

**Position** 

CHIEF COMPLIANCE OFFICER

**Position** 

02/2020

Percentage of Ownership

Less than 5% Yes

Does this owner direct the management or policies of

the firm?

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

COLOMBO, DOUGLAS NMN

1858351

Is this a domestic or foreign entity or an individual?

Individual

**Position Position Start Date** 

02/2023

**FINOP** 



**Percentage of Ownership** 

Less than 5%

Does this owner direct the management or policies of

No

the firm?

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

ZACKS, LEONARD HARVEY

806891

Is this a domestic or foreign entity or an individual?

Individual

**Position** 

PRESIDENT & DIRECTOR

**Position** 

Date

09/1978

**Percentage of Ownership** 

Start

Less than 5% Yes

Does this owner direct the management or policies of

the firm?

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

ZACKS, MITCHEL ETHAN

2649079

Is this a domestic or foreign entity or an individual?

Individual

**Position** 

TRUSTEE FOR THE FRANCINE ZACKS 2012 GIFT TRUST

**Position** 

Start Date

12/2012

**Percentage of Ownership** 

Less than 5% No

Does this owner direct the management or policies of

the firm?

Is this a public reporting

company?

No



This section provides information relating to any indirect owners of the brokerage firm.

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### **Indirect Owners**

No information reported.